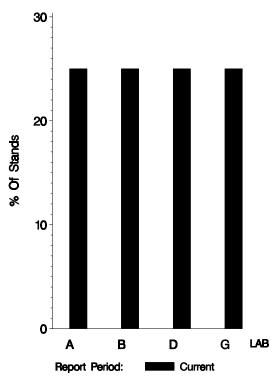


MEMORANDUM:	06-085
DATE:	November 3, 2006
TO:	James McCord, Chairman, Single Cylinder Diesel Surveillance Panel
FROM:	Scott Parke
SUBJECT:	1K Testing from April 1, 2006 through September 30, 2006

Six calibration tests were reported to the Test Monitoring Center during the period from April 1, 2006 through September 30, 2006. The data from the operationally valid tests is shown on page 7. Following is a summary of testing activity this period.

	Reporting Data	Calibrated on 9-30-06
Number of Labs	4	4
Number of Stands	4	4

Stands reporting data this period were distributed as shown below:

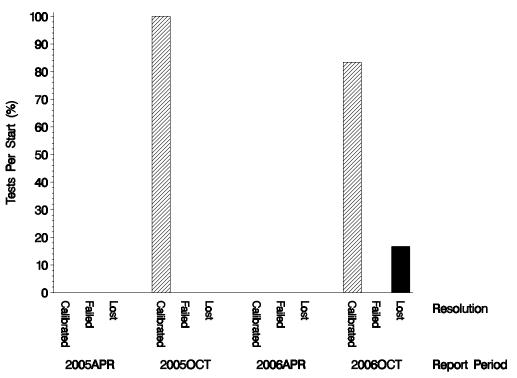


1K LABORATORY / STAND DISTRIBUTION

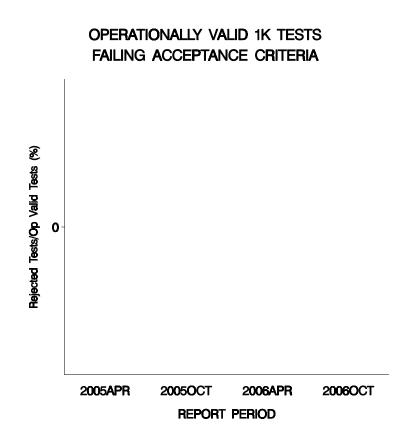
Test Distribution by Oil and Validity

						Tot	tals
		809-1	810-1	811-1	811-2	Last Period	This Period
Accepted for Calibration	AC	1	0	1	3	0	5
Rejected Mild	OC	0	0	0	0	0	0
Rejected Severe	OC	0	0	0	0	0	0
*Rejected for EWMA Precision	OC	0	0	0	0	0	0
*Rejected for Shewhart Precision	OC	0	0	0	0	0	0
Operationally Invalid (lab)	LC	0	0	0	1	0	1
Operationally Invalid (lab/TMC)	RC	0	0	0	0	0	0
Aborted Calibration	XC	0	0	0	0	0	0
Total		1	0	1	4	0	6

*During a January 23, 2006 teleconference, the Surveillance Panel elected to remove precision as a rejection criteria. Instead, the test report will now include a checkbox for use in instances where a candidate test was run in a stand that produced a precision alarm on its reference run.



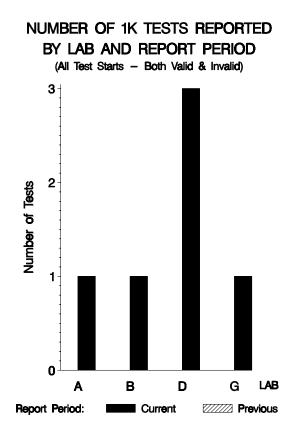
1K CALIBRATION ATTEMPT SUMMARY



The above chart shows the percentage of failed but operationally valid tests. No tests have failed to meet the LTMS criteria since February of 2001.

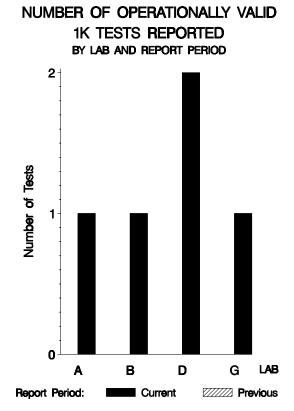
No LTMS deviations were written this period (none have ever been written for the 1K test).

One stand calibrated using reduced-K criteria this period.

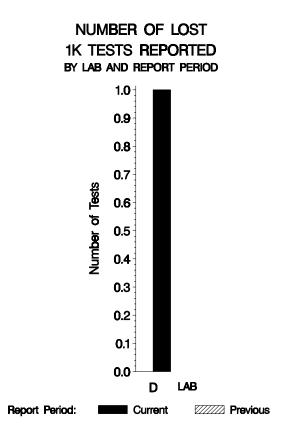


By lab, the tests run this report period were distributed as shown below:

With all operationally invalid tests removed, the distribution looks like this:



And the by-lab distribution of lost tests:



		809-1			810-2			811-1			811-2			Total	
Lab	Lost	Starts	%	Lost	Starts	%									
А										0	1	0	0	1	0
В	0	1	0										0	1	0
D							0	1	0	1	2	50	1	3	33
G										0	1	0	0	1	0
Total	0	1	0	0	0	0	0	1	0	1	4	25	1	6	17

Lost tests are those that were either aborted, rejected by lab, or operationally invalid.

Causes for Lost Tests

				С	Dil			Vali	dity		Ι	loss Rate	
Lab	Lab Cause			810-2	811-1	811-2	LC	RC	XC	MC	Lost	Starts	%
D		t inspection of severe test 1838.3) discovered driveshaft n.				•	•				1	3	33%
		Lost	0	0	0	1	1	0	0	0			
		Starts	1	0	1	4	6	6	6	6			
		%			0%	0%	17%	0%	0%	0%			

	Average Δ/s by Lab								
Lab	n	TGF	WDK	TTLHC*	BSOC	EOTOC			
А	1	-0.922	0.073	-0.868	-0.691	0.008			
В	1	-0.478	-0.034	1.219	-0.193	0.470			
D	2	-0.108	-0.239	-0.064	-0.175	0.390			
G	1	-1.102	-1.195	-0.868	-1.309	-0.500			
Industry	5	-0.544	-0.327	-0.129	-0.509	0.152			
809/809-1	1	-0.478	-0.034	1.219	-0.193	0.470			

* Transformed TLHC

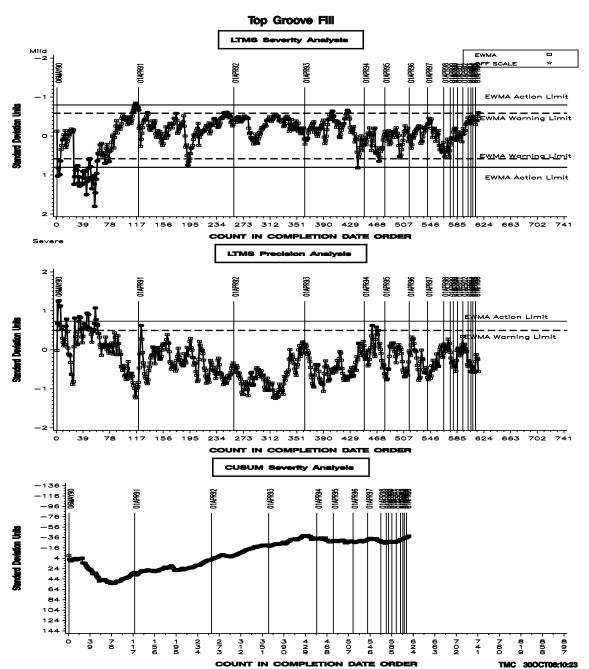
DATA FROM ALL OPERATIONALLY VALID TESTS REPORTED THIS PERIOD:

LTMS DATE	LAB	STAND	OIL	TG	WD	ΤL	ос	ETOC	TGYI	WDYI	TLYI	OCYI	ETOCYI
20060617	D	2	811-1	42	333.6	0	0.24	0.24	0.886	0.106	-0.868	-0.278	-0.034
20060625	А	9	811-2	12	331.8	0	0.20	0.25	-0.922	0.073	-0.868	-0.691	0.008
20060629	В	12A	809-1	10	215.2	6	0.24	0.44	-0.478	-0.034	1.219	-0.193	0.470
20060709	G	5	811-2	9	260.9	0	0.14	0.13	-1.102	-1.195	-0.868	-1.309	-0.500
20060722	D	2	811-2	9	295.1	4	0.26	0.44	-1.102	-0.583	0.741	-0.072	0.814

DISCUSSION OF INDUSTRY PERFORMANCE OVER THIS PERIOD

TGF:

During this report period the average TGF Yi reported (shown in the table on the previous page) was mild at – 0.544. Using 809-1's test target standard deviation of 15.7 to compute an average Δ yields 9% TGF mild.



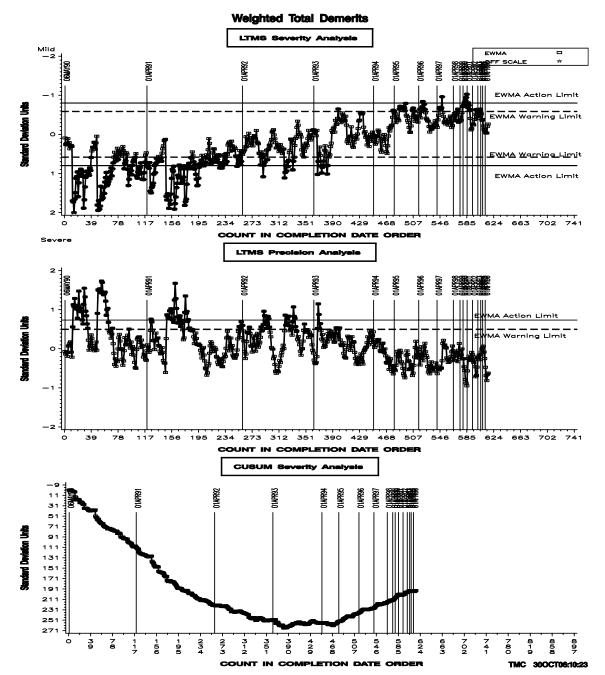
CATERPILLAR 1K INDUSTRY OPERATIONALLY VALID DATA

Shown above is the LTMS/Cusum plot for TGF. TGF remained within both severity and precision LTMS limits over this report period.

The CUSUM plot for TGF is also unremarkable and has been virtually flat since January of 1994.

WDK:

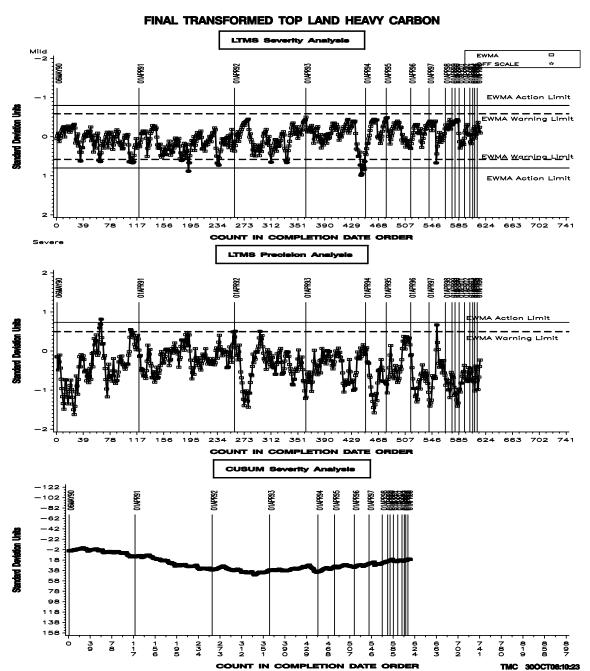
The average WDK result reported this period was slightly mild at -0.327 (see table on page 7). Using the target standard deviation for 809-1 (35.6) converts this to 11.6 demerits. The LTMS/Cusum plot is shown below. WDK severity and precision are currently well within limits.



CATERPILLAR 1K INDUSTRY OPERATIONALLY VALID DATA

TLHC:

The average transformed TLHC Yi reported this period was -0.129 mild (see table on page 7). Using the test target standard deviation of 1.1 from oil 809-1 to compute an average transformed delta yields 0.142. Back-transforming this value gives less than 1% TLHC mild. Overall, this parameter has exhibited on-target performance for the life of this test.

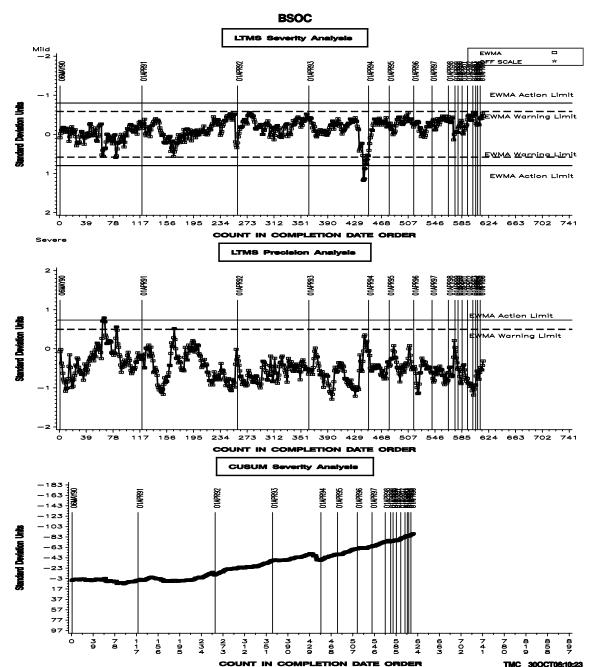


CATERPILLAR 1K INDUSTRY OPERATIONALLY VALID DATA

The LTMS/Cusum plot for transformed TLHC is shown above. Precision and severity were both within limits throughout this report period.

BSOC:

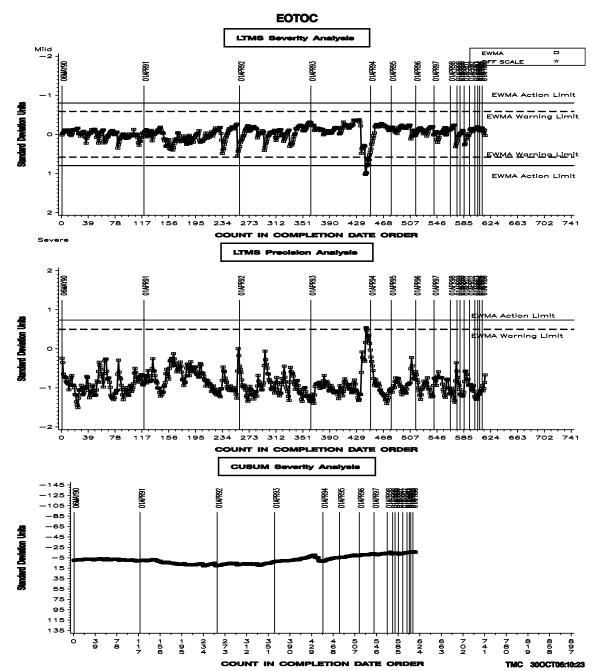
The average BSOC Yi reported this period was -0.509. Computing a delta using the test target standard deviation of 0.145 for oil 809-1 gives 0.074 g/kWh mild. The LTMS/Cusum plot for BSOC is shown below. The Cusum plot indicates a slight but continuous mild trend throughout the life of the test.



CATERPILLAR 1K INDUSTRY OPERATIONALLY VALID DATA

EOTOC:

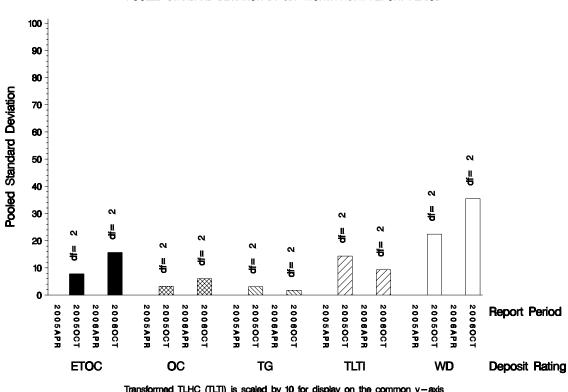
EOTOC generally mirrors BSOC. This period, however, the average EOTOC Yi result reported was 0.152 severe (where BSOC was mild). Multiplying by the target standard deviation for 809-1 (0.332 g/kWh) gives an equivalent EOTOC of 0.05 g/kWh. The LTMS/Cusum plot for EOTOC is shown below.



CATERPILLAR 1K INDUSTRY OPERATIONALLY VALID DATA

POOLED S:

Shown below is a bar chart comparing the pooled s values for the 1K test parameters over the last four report periods. Please note that the values for TLHC have been multiplied by 10 and the values for BSOC and EOTOC have been multiplied by 100 to allow these parameters to be shown on the same plot as the other parameters. Where degrees of freedom equal zero, no bars are shown. This will occur where only one test was reported or where multiple tests are reported but all are on different oils. Periods showing no information had no tests reported (April 2005, April 2006).



1K REFERENCE TEST PRECISION POOLED STANDARD DEVIATION BY SIX-MONTH ASTM REPORT PERIOD

Transformed TLHC (TLTI) is scaled by 10 for display on the common y-axis BSOC (OC) and ETOC are scaled by 100 for display on the common y-axis

STATUS OF REFERENCE OIL SUPPLY:

At the end of this report period, the testing oil supply stood as outlined in the following table:

		@ TI	MC
Oil	Cans @ Labs	Cans	Gallons
809	3	0	0
809-1	10	280	2848
810-2	9	360	3605
811-1	7	0	9
811-2	2	161	1610
Total	31	801	8072

* Future reblends of oils marked with an asterisk are not obtainable by TMC.

Be aware that this table presumes that *all* of each of these oils is dedicated to the 1K test area. All of these oils are also used in the 1N test area and 809-1 is used in several other test areas.

TIMELINE OF SIGNIFICANT EVENTS IN THE LIFE OF THE 1K TEST:

Effective		
Date	Letter	
19891002		START OF 1K TESTING
19900506 19901215		FIRST TEST FOR 1K CALIBRATION FIRST USE OF 811-1
19910220		FIRST USE OF 810-1
19910407		LAST USE OF 811
19910710	2	INDUSTRY CORRECTION FACTORS FOR CANDIDATE TESTING
19910723		LAST USE OF 810
19910816 19910927	1	FIRST USE OF 809-1 INFORMATION LETTER 1 - REWRITTEN PROCEDURE
19911015	3	COOLING SYSTEM MODIFICATION
19911015	3	COOLANT BYPASS VALVE
19911015	3	CYLINDER LINER WEAR MEASUREMENT DEVICE
19911015 19911015	3 3	TEST FUEL NAME CHANGE REPORT FORMS
19920601	4	CLOSED COOLING SYSTEM
19920601	4	PISTON PACKAGING FOR REFEREE RATING
19920601	4	MINERAL FREE WATER - DEFINITION
19920601 19920731	5 6	FLUSHING CART FLOW DIAGRAM TEMPERATURE; PRESSURE AND SPEED STANDARD CALIBRATION TRACEABILITY
19920731	6	HUMIDITY MONITORING SYSTEM
19921015	7	FUEL INJECTION PUMP TIMING USING THE BUBBLE METHOD
19921015	7	PISTON RATER CALIBRATION
19921015	7	OIL SAMPLING FREQUENCY FOR USED OIL ANALYSIS
19930324 19930702	8 9	INTERNAL ENGINE PAINT AND SUPPLIER CATERPILLAR BRAND COOLANT
19930708	10	PROCEDURE DISCLAIMER
19930708	10	CYLINDER HEAD COOLANT PASSAGE CLEANING
19930708	10	CRANKCASE PRESSURE INCREASE DURING BLOWBY MEASUREMENT
19930708 19930708	10 10	ACCEPTABLE CYLINDER HEAD/JUG ASSEMBLIES RING GAP MEASUREMENT – FEELER GAUGES/TAPER GAUGE
19930708	10	PISTON POSITION DURING DOWNTIME
19930708	10	OIL CONSUMPTION CALCULATIONS
19930708	10	OIL CONSUMPTION CALCULATION AFTER SHUTDOWN
19930708	10	MISSING OR BAD TEST DATA
19930708 19940101	10 11	TYPOGRAPHICAL ERROR IN TABLE A12 TEST RUN NUMBERING
19940101	11	PISTON PHOTOGRAPHS
19940101	11	USE OF AN ALIGNMENT FIXTURE IN P-TUBE AIMING
19940101	11	LOCATION OF LINER SURFACE FINISH MEASUREMENTS
19940101 19940101	11 11	LOCATION OF LINER BORE DIAMETER MEASUREMENTS ENGINE ROTATION SPEED DURING FLUSHING
19940101	11	ACCEPTABLE CYLINDER LINER PART NUMBERS
19940101	11	CALIBRATION FREQUENCY
19940102		CATERPILLAR COOLANT DEADLINE
19940301 19940301	12 12	OUTLIERS AS A TEST VALIDITY CRITERIA INSTRUMENTATION CALIBRATION TOLERANCES AND TIME CONSTANTS
19940316	13	FUEL DILUTION AS AN OPERATIONAL VALIDITY CRITERION
19950403		LAST USE OF 809
19950531		LAST NON-DISCRIMINATION RUN ON 810-X
19950907 19960510	96-1	FIRST LTMS TEST 1K/1N DATA DICTIONARY AND REPORT FORMS (VERSION=19960304)
19960913	96-2	BETA TESTED 1K/1N DATA DICTIONARY AND REPORT FORMS (VERSION=19900304)
19961217		FIRST 810-X DISCRIMINATION RUN
19970320	97-1	USE OF LOW SULFUR FUEL FOR THE 1N TEST
19970320 19970320	97-1 97-1	ADDITION OF END OF TEST OIL CONSUMPTION (EOTOC) AS A REPORTED PARAMETER ENGINE PARTS WARRANTY CLAIM PROCEDURE CHANGE
19970320	97-1	LTMS REQUIREMENTS FOR CALIBRATION
19970320	97-1	CLARIFICATION OF SPECIFICATION FOR HUMIDITY CALIBRATION
19970320	97-1	CLARIFICATION OF WHEN REFEREE RATINGS ARE REQUIRED
19970320	97-1	ADDITION OF DATA DICTIONARY AND REPORT FORMS TO THE PROCEDURE
19970320 19970320	97-1 97-1	TEST REPORTING DEADLINES EXAMPLES FOR SEVERAL OF THE REPORT FORMS
19980101	98-1	FUEL SUPPLIER NAME CHANGE
19980101	98-1	FUEL SAMPLING REQUIREMENTS
19980101	98-1	REVISED ENGINE PARTS WARRANTY PROCEDURE & FORM
19980101 19980828	98-1 98-2	810-2 DISCRIMINATION RUNS RETURNED TO LTMS/CAL RUNS, CAL PD = 1YR RATING WORKSHEET ADDED TO TEST REPORT AS FORM 4A
19981111	98-3	ADDED AREAS FOR CLEAN TO RATING SHEETS 5 & 5A
19990419	99-1	TEST STAND INSTRUMENTATION CALIBRATION REQUIREMENTS
19990419	99-1	COOLANT SYSTEM FLUSHING REQUIREMENTS
19990419 19990419	99-1 99-1	UPDATED INTAKE AIR FILTER REQUIREMENTS VISUAL INSPECTION OF INTAKE AIR BARRELS
19990419	99-1 99-1	RE-CALIBRATION REQUIREMENTS WHEN CRANK IS REMOVED
19990419	99-1	USE OF MOBIL EF-411 AS BUILD-UP/FLUSHING OIL
19990419	99-1	TIME ZONE FOR USE IN EOT REPORTING
19990419 20000101	99-1 00-1	EDITORIAL 810-X RUNS WILL OCCUR VOLUNTARILY ONCE PER YEAR
20000101	00 I	STO T NOW WITH OCCON VOLONIANITI ONCE LEN LENN

TIMELINE (continued):

Effective Date	Info Letter	
20020321 20030324	02-1	1K/1N DATA DICTIONARY AND REPORT FORMS (VERSION=20020107) FIRST 811-2 TEST
20040223	04-1	1K/1N DATA DICTIONARY AND REPORT FORMS (VERSION=20040205) DD AND FORMS SEPARATED FROM THE STANDARD
20050321 20050616 20060617	04-1	VARIOUS 1N RELATED ITEMS AND EDITORIAL CHANGES FIRST 1Y-3998 LINER TEST FIRST SDTF2 FUEL TEST

RATING:

During this report period, no second referee ratings were requested. The table below summarizes the re-rates for this report period:

Rating Re-rate Summary	
Number of tests where lab rating was changed	0
Number of tests where referee rating was changed	0
Number of tests where no changes were made	0
Total number of re-rates requested	0

LAB VISITS:

No 1K lab visits were completed this report period.

INFORMATION LETTERS:

No information letters were issued this report period.

FUEL BATCH APPROVAL:

During its June 1, 2006 teleconference, the surveillance panel voted to transfer responsibility for fuel batch approval from the TMC to each of the testing labs. Consequently, fuel batch approval will no longer be a part of this report.

SUMMARY

- TGF, TLHC, BSOC, and EOTOC severity all remained within acceptable limits this period.
- Precision for all parameters remained within acceptable limits throughout this report period.

SDP/sdp/astm1006.doc/mem06-085.sdp.doc

c: J. L. Zalar
F. M. Farber
Hind Abi-Akar, Caterpillar
Britt Pulley, Caterpillar
Single Cylinder Diesel Surveillance Panel
<u>ftp://ftp.astmtmc.cmu.edu/docs/diesel/scote/semiannualreports/1k-10-2006.pdf</u>

Distribution: email