MEMORANDUM: 02-041

DATE: May 24, 2002

TO: James McCord,

Chairman, Single Cylinder Diesel Surveillance Panel

FROM: Scott Parke

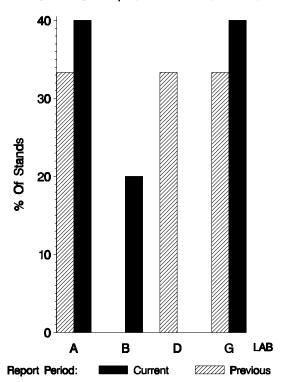
SUBJECT: 1K Testing from October 1, 2001 through March 31, 2002

Seven calibration tests were reported to the Test Monitoring Center during the period from October 1, 2001 through March 31, 2002. The data from the operationally valid tests is shown on page 7. Following is a summary of testing activity this period.

	Reporting Data	Calibrated on 3-31-02
Number of Labs	3	4
Number of Stands	5	6

Stands reporting data this period were distributed as shown below:

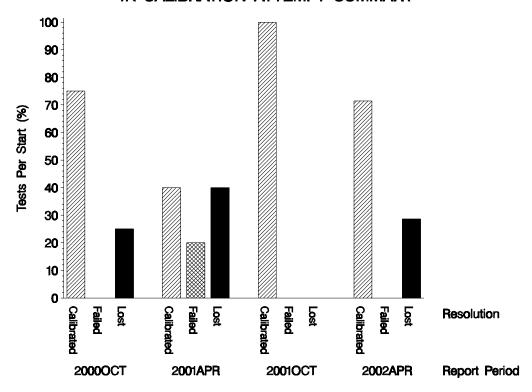
1K LABORATORY / STAND DISTRIBUTION



Test Distribution by Oil and Validity

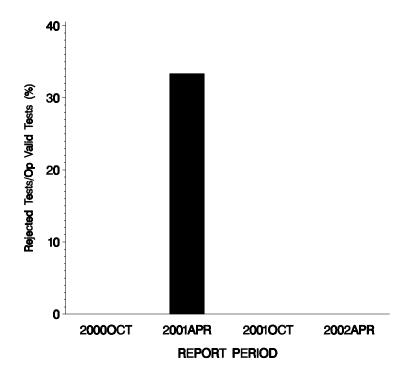
					Tot	tals
		809-1	810-2	811-1	Last Period	This Period
Accepted for Calibration	AC	2	1	2	3	5
Rejected Mild	OC	0	0	0	0	0
Rejected Severe	OC	0	0	0	0	0
Rejected for EWMA Precision	OC	0	0	0	0	0
Rejected for Shewhart Precision	OC	0	0	0	0	0
Operationally Invalid (lab)	LC	0	0	0	0	0
Operationally Invalid (lab/TMC)	RC	0	1	0	0	1
Aborted Calibration	XC	1	0	0	0	1
Total		3	2	2	3	7

1K CALIBRATION ATTEMPT SUMMARY



Testing volume this period was too low to draw meaningful conclusions from this chart but it is provided for historical context.

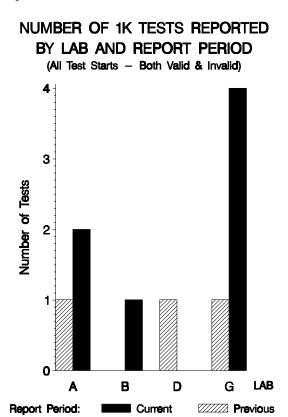
OPERATIONALLY VALID 1K TESTS FAILING ACCEPTANCE CRITERIA



The above chart shows the percentage of failed but operationally valid tests. No tests failed to meet the LTMS criteria this period.

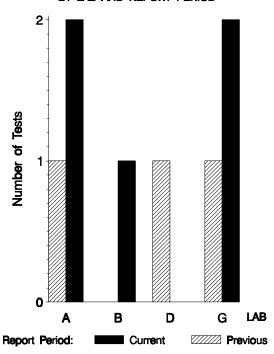
No LTMS deviations were written this period (none have ever been written for the 1K test).

By lab, the tests run this report period were distributed as shown below:

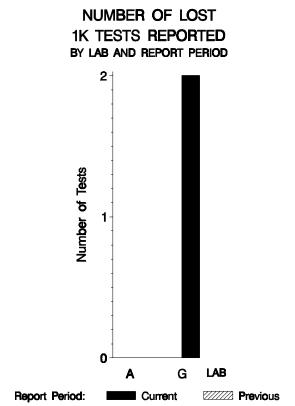


With all operationally invalid tests removed, the distribution looks like this:

NUMBER OF OPERATIONALLY VALID 1K TESTS REPORTED BY LAB AND REPORT PERIOD



And the by-lab distribution of lost tests:



Lost Tests per Start by Oil and Lab

		809-1			810-2			811-1			Total	
Lab	Lost	Starts	%	Lost	Starts	%	Lost	Starts	%	Lost	Starts	%
A	0	1	0				0	1	0	0	2	0
В	0	1	0							0	1	0
G	1	1	100	1	2	50	0	1	0	2	4	50
Total	1	3	33	1	2	50	0	2	0	2	7	29

Lost tests are those that were either aborted, rejected by lab, or operationally invalid.

Causes for Lost Tests

				Oil			Vali	/alidity		I	Loss Rate	
Lab	Lab Cause		1-608	809-1 810-2	811-1 LC RC XC MC Lost Starts %	ЭТ	RC	XC	MC	Lost	Starts	%
Ð	G Cracked jug at 208 hours.	rs.	•					•		2	4	%05
	Post-test inspection revealed incorrect angle on injector spray pattern. Test would have put stand into TGF precision alarm.	ealed incorrect angle on est would have put		•			•					
	*	Lost	1	1	0	0	1	1	0			

%0

14% 14%

%0

2 %0

2 50%

33%

%

Starts

Average ∆/s by Lab								
Lab	n	TGF	WDK	TTLHC*	BSOC	EOTOC		
A	2	-0.128	0.741	-0.362	-0.339	-0.065		
В	1	0.287	0.927	0.710	-0.538	-0.343		
G	2	0.209	-0.627	-0.170	-0.771	-0.162		
Industry	5	0.090	0.230	-0.071	-0.551	-0.159		
809/809-1	2	0.446	0.435	0.080	-0.470	-0.238		

^{*} Transformed TLHC

DATA FROM ALL OPERATIONALLY VALID TESTS REPORTED THIS PERIOD:

LTMS DATE	LAB	STAND	OIL	TG	WD	TL	ос	ETOC	TGYI	WDYI	TLYI	OCYI	ETOCYI
20011014	Α	21	809-1	27	214.4	0	0.21	0.21	0.605	-0.056	-0.550	-0.400	-0.223
20011026	В	12A	809-1	22	249.4	3	0.19	0.17	0.287	0.927	0.710	-0.538	-0.343
20011027	Α	10	811-1	13	413.7	1	0.24	0.27	-0.861	1.538	-0.175	-0.278	0.093
20020302	G	6	810-2	86	248.5	16	0.23	0.53	1.520	-0.330	0.528	-0.438	0.049
20020326	G	6	811-1	9	276.0	0	0.16	0.16	-1.102	-0.925	-0.868	-1.103	-0.373

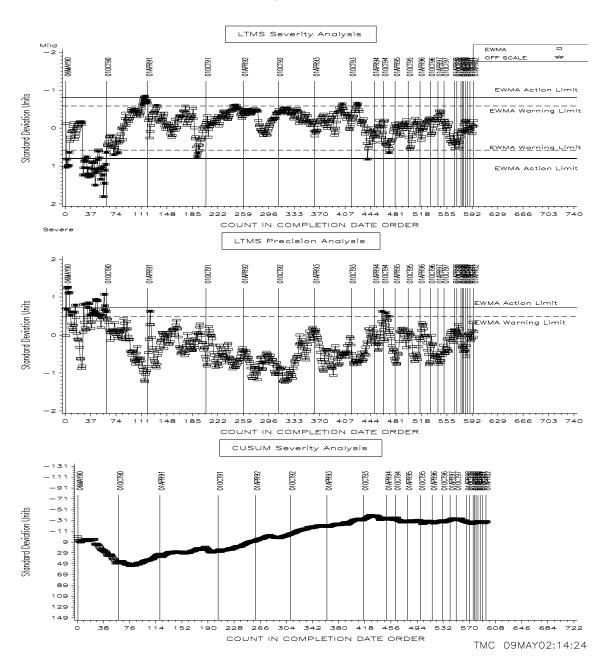
DISCUSSION OF INDUSTRY PERFORMANCE OVER THIS PERIOD

TGF:

During this report period the industry average TGF Yi (shown in the table on the previous page) was nearly on target at 0.090. Using 809-1's test target standard deviation of 15.7 to compute an average Δ yields 1% TGF severe.

CATERPILLAR 1K INDUSTRY OPERATIONALLY VALID DATA





Shown above is the LTMS/Cusum plot for TGF. Over this report period, TGF remained within both severity and precision LTMS limits.

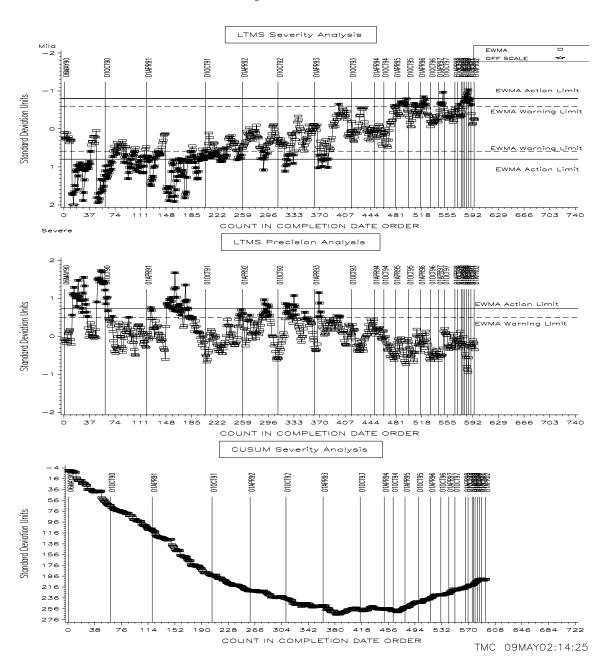
The CUSUM plot for TGF is also unremarkable and has been virtually flat since January of 1994.

WDK:

After several periods of exceeding the EWMA action limit (mild), results this period have brought the WDK chart back within limits. Industry average Yi for this period was 0.230 (see table on page 7). Using the target standard deviation for 809-1 (35.6) converts this to 8.2 demerits severe. The LTMS/Cusum plot is shown below. WDK precision remains well within limits.

CATERPILLAR 1K INDUSTRY OPERATIONALLY VALID DATA

Weighted Total Demerits

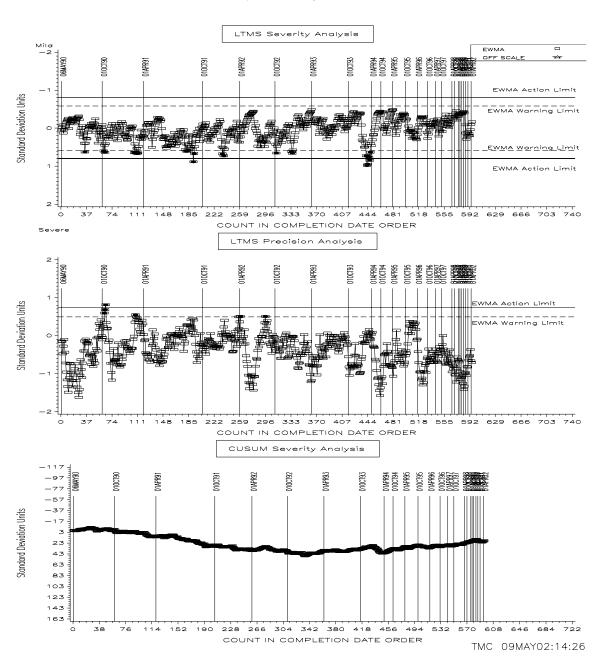


TLHC:

The average transformed TLHC Yi for this report period was -0.071 mild (see table on page 7). Using the test target standard deviation of 1.1 from oil 809-1 to compute an average transformed delta yields 0.078. Backtransforming this value gives <1% TLHC mild. Overall, this parameter has exhibited on-target performance for the life of this test.

CATERPILLAR 1K INDUSTRY OPERATIONALLY VALID DATA

Top Land Heavy Carbon

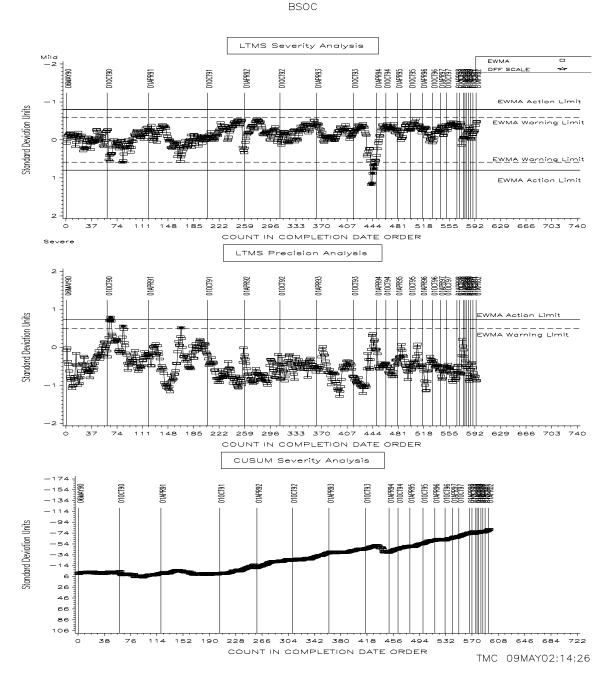


The LTMS/Cusum plot for transformed TLHC is shown above. Precision and severity were both within limits throughout this report period.

BSOC:

Over the current report period, average BSOC Yi was -0.551. Computing an average delta using the test target standard deviation of 0.145 for oil 809-1 gives 0.08 g/kWh. The LTMS/Cusum plot for BSOC is shown below. The Cusum plot indicates a slight but continuous mild trend throughout the life of the test.

CATERPILLAR 1K INDUSTRY OPERATIONALLY VALID DATA

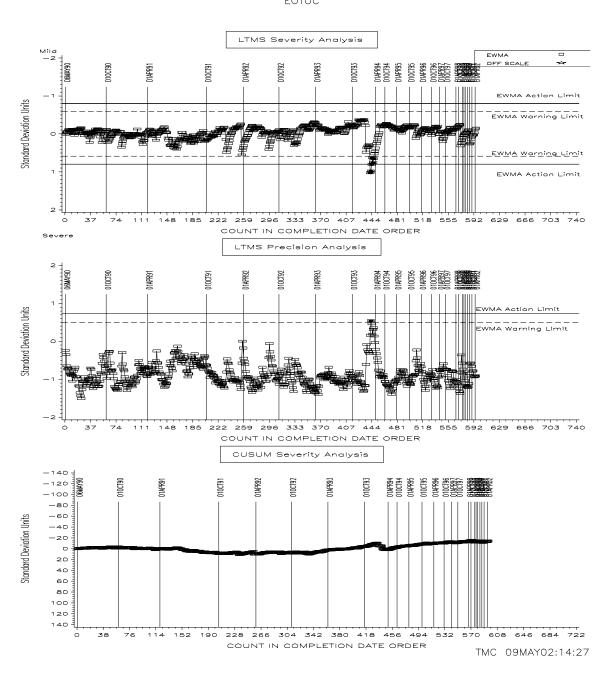


EOTOC:

As usual, EOTOC closely mirrors BSOC. Over the current report period, EOTOC had an average Yi of -0.159. Multiplying by the target standard deviation for 809-1 (0.332 g/kWh) gives an equivalent EOTOC of 0.05 g/kWh. The LTMS/Cusum plot for EOTOC is shown below.

CATERPILLAR 1K INDUSTRY OPERATIONALLY VALID DATA

EOTOC

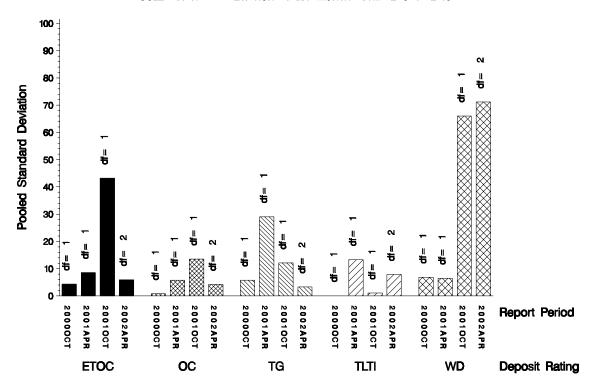


POOLED S:

Shown below is a bar chart comparing the pooled s values for the 1K test parameters over the last four report periods. Please note that the values for TLHC have been multiplied by 10 and the values for BSOC and EOTOC have been multiplied by 100 to allow these parameters to be shown on the same plot as the other parameters. The small n-size of recent periods prohibits the drawing of meaningful conclusions.

1K REFERENCE TEST PRECISION

POOLED STANDARD DEVIATION BY SIX-MONTH ASTM REPORT PERIOD



Transformed TLHC (TLTI) is scaled by 10 for display on the common y-axis BSOC (OC) and ETOC are scaled by 100 for display on the common y-axis

STATUS OF REFERENCE OIL SUPPLY:

At the end of this report period, the testing oil supply stood as outlined in the following table:

		@ TN	MC
Oil	Cans @ Labs	Cans	Gallons
809	3	0	0
809-1	15	303	3038
810-2	9	360	3605
811-1	11	0	0
811-2	5	168	1682
Total	43	831	8325

^{*} Future reblends of oils marked with an asterisk are *not* obtainable by TMC.

Be aware that this table presumes that *all* of each of these oils is dedicated to the 1K test area. All of these oils are also used in the 1N test area and 809-1 is used in several other test areas.

TIMELINE OF SIGNIFICANT EVENTS IN THE LIFE OF THE 1K TEST:

```
Effective Info
               Letter
Date
19891002
                              START OF 1K TESTING
                             FIRST TEST FOR 1K CALIBRATION
FIRST USE OF 811-1
FIRST USE OF 810-1
19900506
19901215
19910220
                              LAST USE OF 811
19910407
19910710
                              INDUSTRY CORRECTION FACTORS FOR CANDIDATE TESTING
                             LAST USE OF 810
FIRST USE OF 809-1
19910723
19910816
19910927
                              INFORMATION LETTER 1 - REWRITTEN PROCEDURE
                             COOLING SYSTEM MODIFICATION
COOLANT BYPASS VALVE
CYLINDER LINER WEAR MEASUREMENT DEVICE
19911015
               3
19911015
               3
19911015
               3
                              TEST FUEL NAME CHANGE
19911015
               3
               3
                             REPORT FORMS
19911015
                              CLOSED COOLING SYSTEM
19920601
               4
                              PISTON PACKAGING FOR REFEREE RATING
19920601
               4
                             MINERAL FREE WATER - DEFINITION
FLUSHING CART FLOW DIAGRAM
19920601
               4
19920601
               5
6
                             TEMPERATURE; PRESSURE AND SPEED STANDARD CALIBRATION TRACEABILITY HUNDITY MONITORING SYSTEM
FUEL INJECTION PUMP THING USING THE BUBBLE METHOD
19920731
19920731
               6
7
7
19921015
                              PISTON RATER CALIBRATION
OIL SAMPLING FREQUENCY FOR USED OIL ANALYSIS
INTERNAL ENGINE PAINT AND SUPPLIER
19921015
19921015
19930324
               8
19930702
                              CATERPILLAR BRAND COOLANT
19930708
               10
                              PROCEDURE DISCLAIMER
19930708
                              CYLINDER HEAD COOLANT PASSAGE CLEANING
               10
19930708
                              CRANKCASE PRESSURE INCREASE DURING BLOWBY MEASUREMENT
               10
                              ACCEPTABLE CYLINDER HEAD/JUG ASSEMBLIES
RING GAP MEASUREMENT - FEELER GAUGES/TAPER GAUGE
PISTON POSITION DURING DOWNTIME
19930708
               10
19930708
               10
19930708
               10
                              OIL CONSUMPTION CALCULATIONS
19930708
               10
19930708
               10
                              OIL CONSUMPTION CALCULATION AFTER SHUTDOWN
                              MISSING OR BAD TEST DATA
TYPOGRAPHICAL ERROR IN TABLE A12
19930708
               10
19930708
               10
19940101
                              TEST RUN NUMBERING
               11
19940101
                              PISTON PHOTOGRAPHS
USE OF AN ALIGNMENT FIXTURE IN P-TUBE AIMING
               11
19940101
               11
19940101
                              LOCATION OF LINER SURFACE FINISH MEASUREMENTS LOCATION OF LINER BORE DIAMETER MEASUREMENTS
               11
19940101
               11
19940101
                              ENGINE ROTATION SPEED DURING FLUSHING
               11
19940101
               11
                              ACCEPTABLE CYLINDER LINER PART NUMBERS
                              CALIBRATION FREQUENCY
CATERPILLAR COOLANT DEADLINE
19940101
               11
19940102
                              OUTLIERS AS A TEST VALIDITY CRITERIA
INSTRUMENTATION CALIBRATION TOLERANCES AND TIME CONSTANTS
19940301
               12
19940301
               12
                              FUEL DILUTION AS AN OPERATIONAL VALIDITY CRITERION LAST USE OF 809
19940316
19950403
                              LAST NON-DISCRIMINATION RUN ON 810-X
19950531
                              FIRST LTMS TEST

1K/1N DATA DICTIONARY AND REPORT FORMS (VERSION=19960304)

BETA TESTED 1K/1N DATA DICTIONARY AND REPORT FORMS (VERSION=19960913)

FIRST 810-X DISCRIMINATION RUN
19950907
19960510
               96-1
19960913
               96-2
19961217
                              USE OF LOW SULFUR FUEL FOR THE 1N TEST
ADDITION OF END OF TEST OIL CONSUMPTION (EOTOC) AS A REPORTED PARAMETER
ENGINE PARTS WARRANTY CLAIM PROCEDURE CHANGE
LTMS REQUIREMENTS FOR CALIBRATION
19970320
19970320
               97-1
19970320
               97-1
19970320
               97-1
                              CLARIFICATION OF SPECIFICATION FOR HUMIDITY CALIBRATION
CLARIFICATION OF WHEN REFEREE RATINGS ARE REQUIRED
ADDITION OF DATA DICTIONARY AND REPORT FORMS TO THE PROCEDURE
19970320
               97-1
19970320
               97-1
19970320
               97-1
                              TEST REPORTING DEADLINES
19970320
               97 - 1
19970320
                97-1
                              EXAMPLES FOR SEVERAL OF THE REPORT FORMS
                              FUEL SUPPLIER NAME CHANGE
FUEL SAMPLING REQUIREMENTS
19980101
               98 - 1
19980101
               98-1
19980101
                              REVISED ENGINE PARTS WARRANTY PROCEDURE & FORM 810-2 DISCRIMINATION RUNS RETURNED TO LTMS/CAL RUNS, CAL PD = 1YR
                98 - 1
19980101
                98-1
                              RATING WORKSHEET ADDED TO TEST REPORT AS FORM 4A ADDED AREAS FOR CLEAN TO RATING SHEETS 5 & 5A
19980828
               98-2
19981111
               98-3
                              TEST STAND INSTRUMENTATION CALIBRATION REQUIREMENTS COOLANT SYSTEM FLUSHING REQUIREMENTS
19990419
                99 - 1
19990419
               99-1
                              UPDATED INTAKE AIR FILTER REQUIREMENTS
VISUAL INSPECTION OF INTAKE AIR BARRELS
RE-CALIBRATION REQUIREMENTS WHEN CRANK IS REMOVED
19990419
                99-1
19990419
               99-1
19990419
               99-1
                              USE OF MOBIL EF-411 AS BUILD-UP/FLUSHING OIL
19990419
               99-1
                              TIME ZONE FOR USE IN EOT REPORTING
19990419
               99-1
19990419
               99-1
                              EDITORIAL
20000101
                              810-X RUNS WILL OCCUR VOLUNTARILY ONCE PER YEAR
               0.0 - 1
```

TIMELINE (continued):

Effective Info Date Letter

20020321 02-1 1K/1N DATA DICTIONARY AND REPORT FORMS (VERSION=20020107)

RATING:

During this report period, no second referee ratings were requested. The table below summarizes the re-rates for this report period:

Rating Re-rate Summary

Total number of re-rates requested	0
Number of tests where lab rating was changed	0
Number of tests where referee rating was changed	0
Number of tests where no changes were made	0

LAB VISITS:

No lab visits were completed this report period.

INFORMATION LETTERS:

Information Letter 02-1 was issued to release 1K/1N report form/data dictionary version 20020107. Some minor editorial form changes were required to facilitate the 1K/1N's conversion to an ASTM standard.

FUEL BATCH APPROVAL:

During this period, the following fuel batches were approved for testing: 0110708, 0111849, 0112962, 0201074, and 0202115.

SUMMARY

- The small n-size again this report period limits the value of any conclusions that might be drawn but TGF, TLHC, BSOC, and EOTOC severity all remained within acceptable limits. After several periods of exceeding the EWMA action limit (mild), results this period have brought the WDK chart back within limits.
- Precision for all parameters remained within acceptable limits throughout this report period.

SDP/sdp/astm0402.doc/m02-041.sdp.doc

c: J. L. Zalar

F. M. Farber

Dwayne Tharp

Single Cylinder Diesel Surveillance Panel

ftp://ftp.astmtmc.cmu.edu/docs/diesel/scote/semiannualreports/1k-04-2002.pdf

Distribution: internet